

# HD AND ASSOCIATES COMPANY SECRETARIES

Address: Shop No. 7 BadamWadi, MarwadiChawl,  
Grant Road (East), Mumbai – 400 004.

Email : Hardik@hdandassociates.com ; Mob.: +91-9699610825

## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022

[Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015]

I, Hardik Darji, Proprietor of HD and Associates, Practising Company Secretaries appointed by Prabhat Technologies (India) Limited have examined following compliances of Prabhat Technologies (India) Limited and I am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non- tamperable and has the capability to maintain the records for 8years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

I would like to confirm that there were no non-compliance(s) observed in the previous quarter.

FOR HD AND ASSOCIATES  
COMPANY SECRETARIES



PRACTISING COMPANY SECRETARY

PROPRIETOR

ACS NO. 47700 C.P.NO.: 21073

FRN: S2018MH634200

PLACE: MUMBAI

DATE: 17<sup>TH</sup> JANUARY, 2023

UDIN: A047700D002993016

PEER REVIEW NO: 2208/2022