



July 17, 2023

To,
Manager (CRD),
BSE Limited
P.J. Tower, Dalal Street,
Mumbai- 400001.

Name of the Scrip: Prabhat Technologies (India) Limited.

Scrip Code – 540 027

Subject : Compliance Certificate on Structured Digital Database (SDD) under Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 for the Quarter ended June 30, 2023.

Dear Sir / Madam,

Pursuant to Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the Structured Digital Database (SDD) by the Company, for the quarter ended June 30, 2023 issued by HD and Associates, Practicing Company Secretary.

This is for your information and records.

Thanking you,

RAJENDRA KARANMAL
BHUTA

Digitally signed by RAJENDRA
KARANMAL BHUTA

Yours faithfully,

For and on behalf of **Prabhat Technologies (India) Limited**

Insolvency Resolution Professional

Rajendra K. Bhuta

Reg. No. IBBI/IPA-001/IP-P00141/2017-18/10305

Enclosure: a/a

PRABHAT TECHNOLOGIES (INDIA) LIMITED

CIN: L72100MH2007PLC169551

HD AND ASSOCIATES COMPANY SECRETARIES

Address: Office Number 411, Parikh Market Building,
Opera House, Mumbai-400004.

Email : Hardik@hdandassociates.com ; Mob.: +91-9699610825

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30TH JUNE, 2023

[Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015]

I, Hardik Darji, Proprietor of HD and Associates, Practicing Company Secretaries appointed by Prabhat Technologies (India) Limited, I have examined following compliances of Prabhat Technologies (India) Limited and I am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non- tamperable and has the capability to maintain the records for 8years.

I also confirm that the Company was required to capture 1 number of event during the First Quarter Ended 30th June, 2023 and has captured 1 number of the said required events.

I would like to confirm that there were no non-compliance(s) observed in the previous quarter.

FOR HD AND ASSOCIATES
COMPANY SECRETARIES



PRACTICING COMPANY SECRETARY
PROPRIETOR

ACS NO. 47700 C.P.NO.: 21073

FRN: S2018MH634200

PLACE: MUMBAI

DATE: 15TH JULY, 2023

UDIN: A047700E000616862

PEER REVIEW NO: 2208/2022